

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kenji OSHIMA :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed September 24, 2003 : Attorney Docket No. 2003_1342A
OPTICAL DISC AND PROCESS FOR THE THE COMMISSIONER IS AUTHORIZED
PRODUCTION OF LABEL THEREFOR TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

b. ☐ a foreign patent office search report (in the English language) submitted herewith,

- c. ☒ the concise explanation contained in the specification of the present application at page 2 (references AJ-AK) and page 4 (references AL),
- d. ☒ the concise explanation set forth in the attached English language abstracts,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kenji OSHIMA

By Michael S. Huppert
Michael S. Huppert
Registration No. 40,268
Attorney for Applicant

MSH/kjf
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
September 24, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 24, 2003

ATTY DOCKET NO.
2003_1342ASERIAL NO.
NEWAPPLICANT
Kenji OSHIMAFILING DATE
September 24, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,000,793	12/1999	Inamoto			
	AB	6,464,348	10/2002	Kasperchik et al.			
	AC	6,084,620	7/2000	Morikawa et al.			
	AD	6,161,928	12/2000	Morikawa et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	8-102098	8/1996	JP			Abstract	
	AK	10-188345	7/1998	JP			Abstract	
	AL	9-330535	12/1997	JP			Abstract	
	AM	2002-144551	5/2002	JP			Abstract	
	AN	2002-264304	9/2002	JP			Abstract	
	AO	2003-53942	2/2003	JP			Abstract	
	AP	2003-80819	3/2003	JP			Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	
--	----	--

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy this form with next communication to applicant.